

Rational q -Catalan numbers and q -binomials

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AMS Fall Eastern Section Meeting — Halifax
October 18, 2014

Goal

Find combinatorial interpretations for rational q -Catalan numbers and q -binomials.

q -binomials

$$[n]_q = 1 + q + q^2 + \cdots + q^{n-1},$$

$$[n]!_q = [n]_q [n-1]_q \cdots [2]_q [1]_q,$$

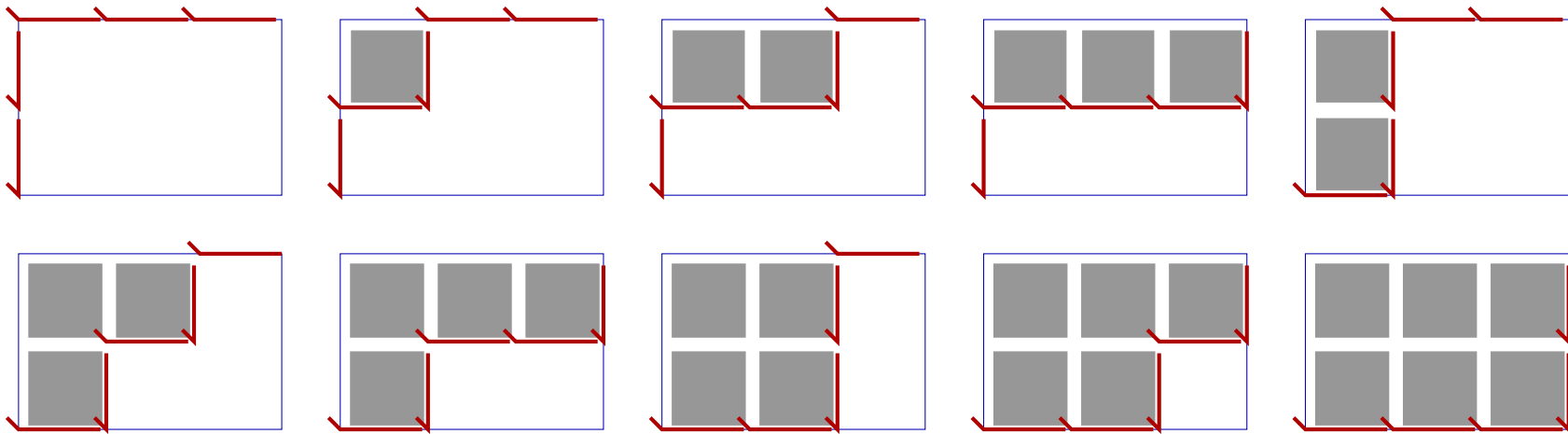
$$\begin{bmatrix} a+b \\ a, b \end{bmatrix}_q = \frac{[a+b]!_q}{[a]!_q [b]!_q}.$$

q -binomials

Theorem:

$$\begin{bmatrix} a + b \\ a, b \end{bmatrix}_q = \sum_{\mu \in \mathcal{R}^{\text{ptn}}(a, b)} q^{|\mu|}.$$

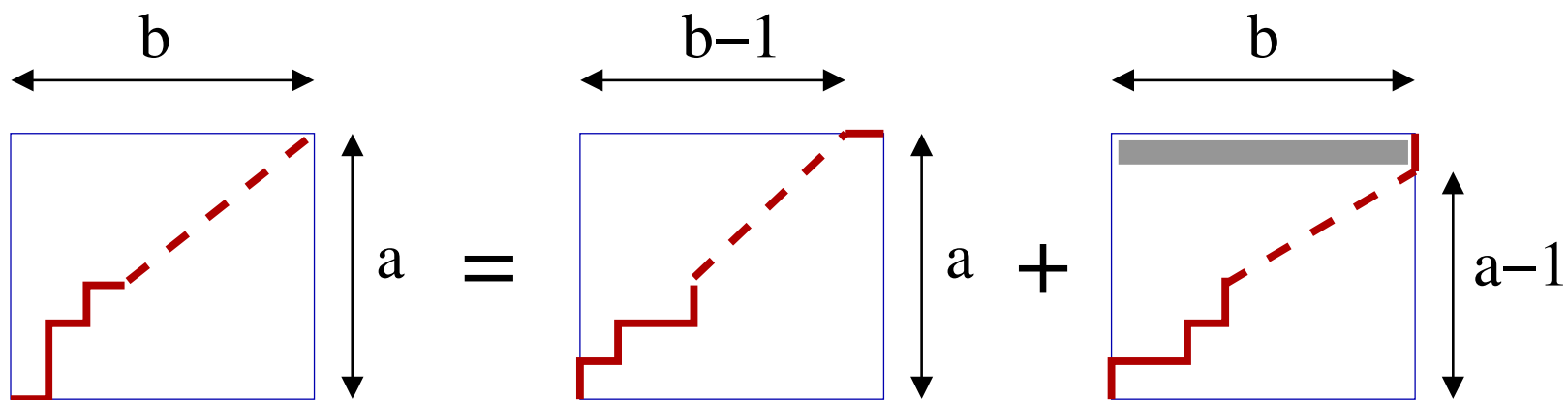
Example: $a = 2, b = 3$



$$\begin{bmatrix} 2 + 3 \\ 2, 3 \end{bmatrix}_q = 1 + q + 2q^2 + 2q^3 + 2q^4 + q^5 + q^6.$$

Proof

$$\begin{bmatrix} a + b \\ a, b \end{bmatrix}_q = \begin{bmatrix} a + b - 1 \\ a, b - 1 \end{bmatrix}_q + q^b \begin{bmatrix} a + b - 1 \\ a - 1, b \end{bmatrix}_q.$$

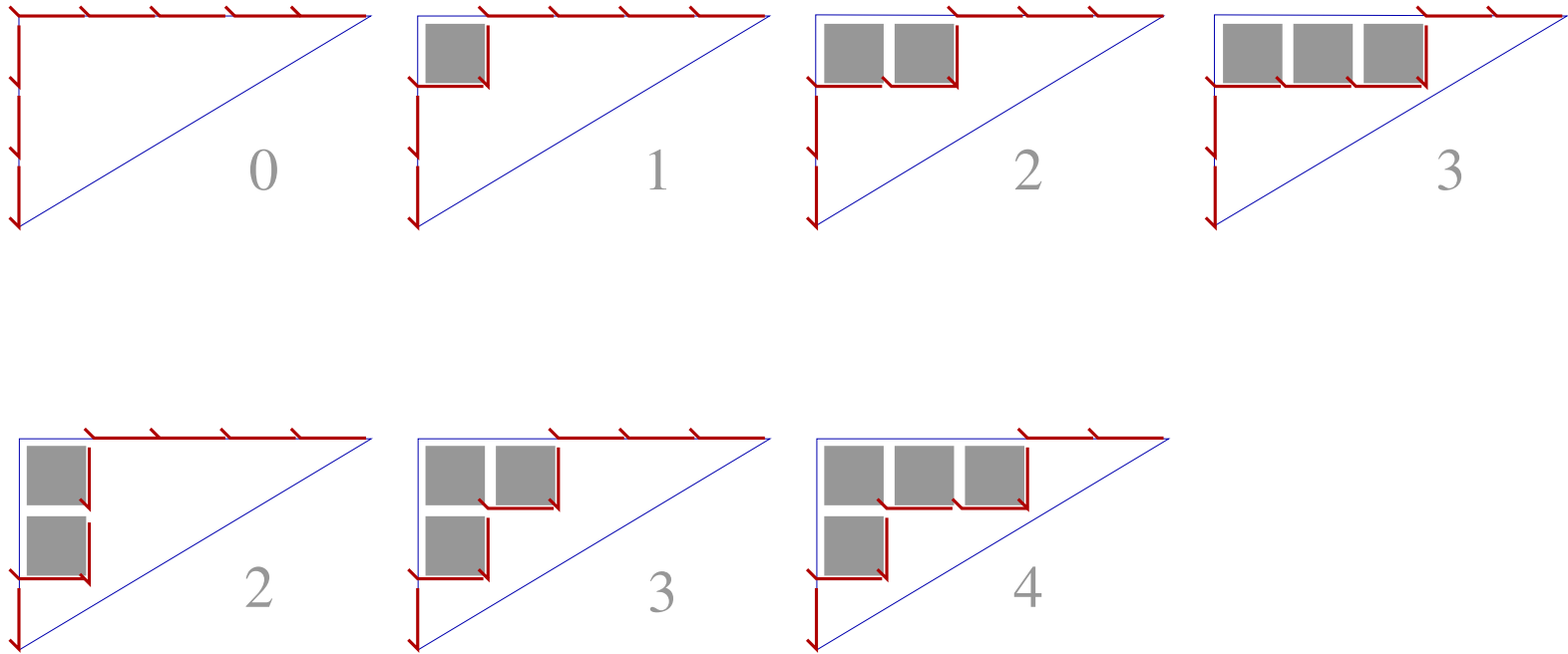


q, t -Catalan

$$\mathbf{Cat}_{a,b}(q) = \frac{1}{[a+b]_q} \begin{bmatrix} a+b \\ a, b \end{bmatrix}_q.$$

$$\begin{aligned} \mathbf{Cat}_{3,5}(q) &= \frac{1}{[8]_q} \frac{[8]_q [7]_q [6]_q}{[8]_q [3]_q [2]_q [1]_q} \\ &= \frac{1 - q^7}{1 - q} \frac{1 - q^6}{1 - q} \frac{1 - q}{1 - q^3} \frac{1 - q}{1 - q^2} \\ &= 1 + q^2 + q^3 + q^4 + q^5 + q^6 + q^8. \end{aligned}$$

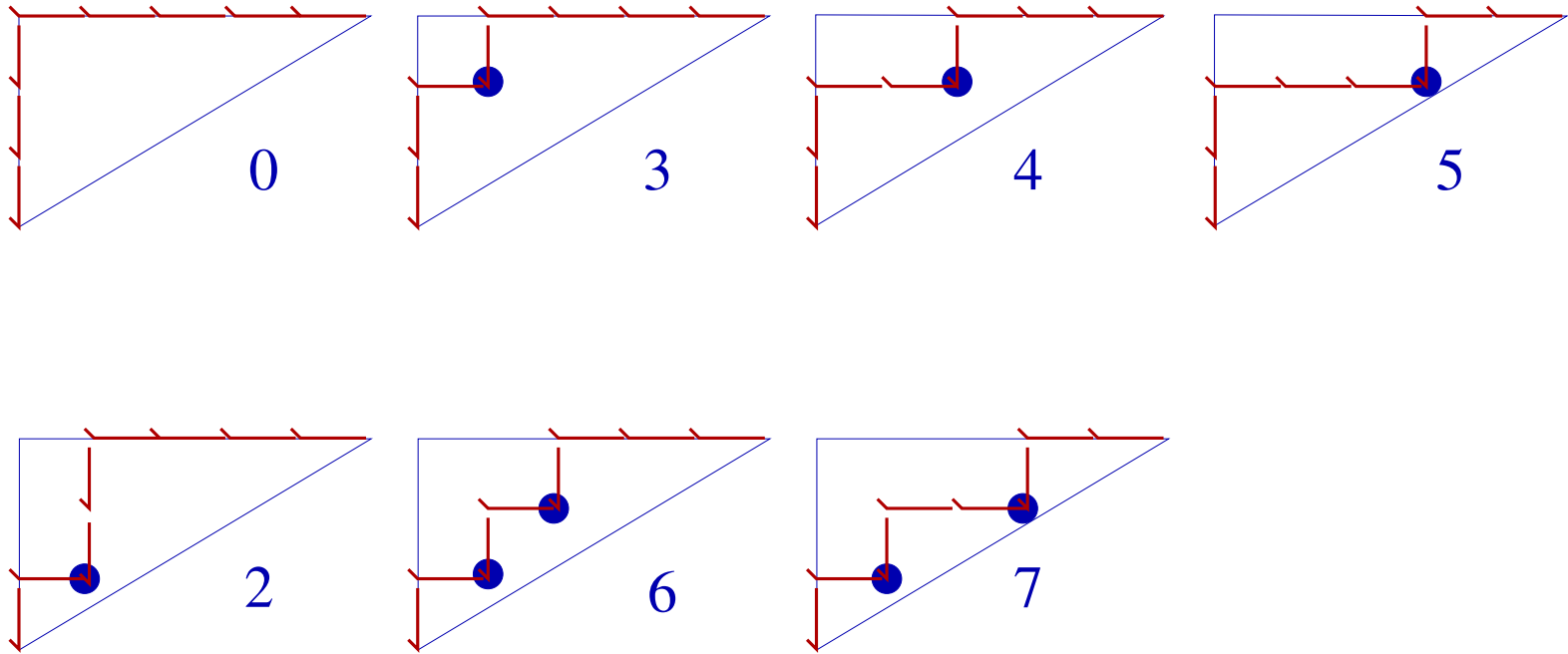
$|\mu|?$



$$\text{Above} = 1 + q + 2q^2 + 2q^3 + q^4,$$

$$\text{Cat}_{3,5}(q) = 1 + q^2 + q^3 + q^4 + q^5 + q^6 + q^8.$$

maj?



$$\text{Above} = 1 + q^2 + q^3 + q^4 + q^5 + q^6 + q^7,$$
$$\text{Cat}_{3,5}(q) = 1 + q^2 + q^3 + q^4 + q^5 + q^6 + q^8.$$

Inspiration: the q, t -Catalan

Garsia and Haiman's rational functions

$OC_n(q, t)$ satisfy

$$q^{\binom{n}{2}} OC_n(q, 1/q) = \frac{1}{[n+1]_q} \left[\begin{matrix} 2n \\ n, n \end{matrix} \right]_q.$$

So let's evaluate the rational q, t -Catalan at $t = 1/q$.

Definitions

Let $A_{\max} = (a - 1)(b - 1)/2$.

The statistic $h_{b,a}^+(\mu)$ is defined to be

$$|\{c \in \mu : -a < a \cdot \text{arm}(c) - b \cdot \text{leg}(c) \leq b\}|.$$

Examples to follow.

Definitions

The **sweep map** sw maps

$$\mathcal{D}^{\text{path}}(N^a E^b) \mapsto \mathcal{D}^{\text{path}}(N^a E^b)$$

$$\mathcal{D}^{\text{ptn}}(a, b) \mapsto \mathcal{D}^{\text{ptn}}(a, b)$$

Fact: $A_{\max} - |\text{sw}(\mu)| = h_{b,a}^+(\mu).$

Rational q, t -Catalan

$$\begin{aligned} C_{a,b}(q, t) &= \sum_{w \in \mathcal{D}^{\text{path}}(N^a E^b)} q^{\text{area}(\text{sw}(w))} t^{\text{area}(w)} \\ &= \sum_{\mu \in \mathcal{D}^{\text{ptn}}(a,b)} q^{A_{\max} - |\text{sw}(\mu)|} t^{A_{\max} - |\mu|} \\ &= \sum_{\mu \in \mathcal{D}^{\text{ptn}}(a,b)} q^{h_{b,a}^+(\mu)} t^{A_{\max} - |\mu|}. \end{aligned}$$

So

$$q^{A_{\max}} C_{a,b}(q, 1/q) = \sum_{\mu \in \mathcal{D}^{\text{ptn}}(a,b)} q^{h_{b,a}^+(\mu) + |\mu|}$$

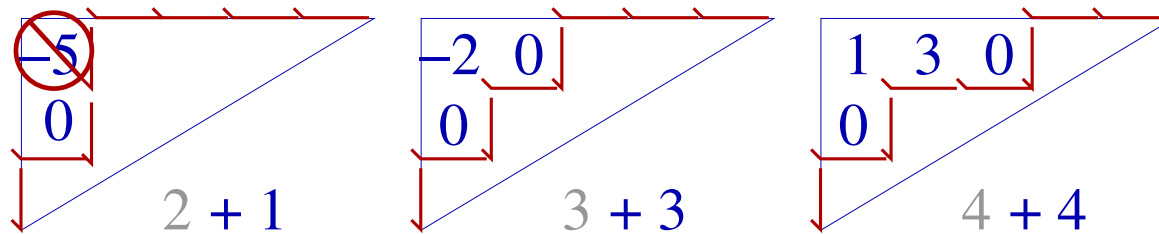
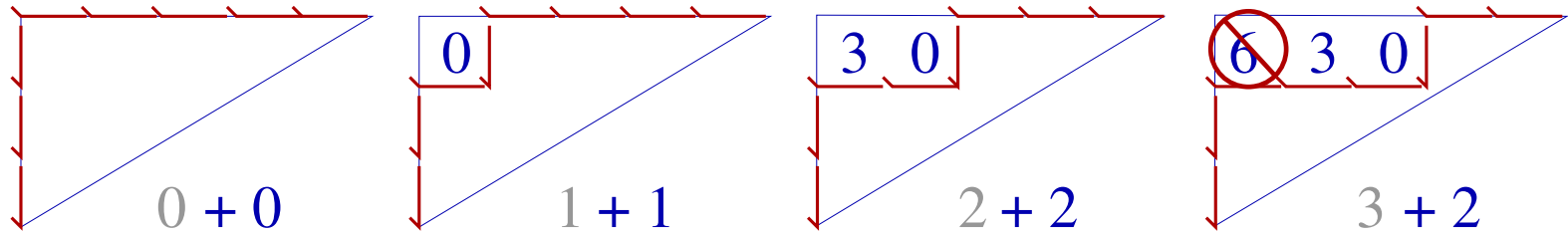
hopefully equals $\text{Cat}_{a,b}(q)$.

q -Catalan Conjecture

For $\gcd(a, b) = 1$,

$$\mathbf{Cat}_{a,b}(q) = \sum_{\mu \in \mathcal{D}^{\text{ptn}}(a,b)} q^{|\mu| + h_{b,a}^+(\mu)}.$$

Example: $a = 3, b = 5$

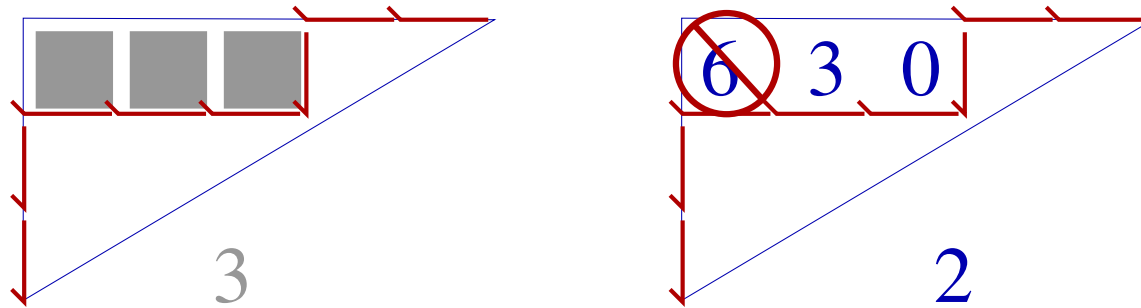


Above = $1 + q^2 + q^3 + q^4 + q^5 + q^6 + q^8$,

$\text{Cat}_{3,5}(q) = 1 + q^2 + q^3 + q^4 + q^5 + q^6 + q^8$.

Yuck

The same path



contributes q^3 to $\begin{bmatrix} 3 + 5 \\ 3, 5 \end{bmatrix}_q$ but
contributes q^{3+2} to $\mathbf{Cat}_{3,5}(q)$.

Reconciliation

For $\gcd(a, b) = 1$,

$$\mathbf{Cat}_{a,b}(q) = \sum_{\mu \in \mathcal{D}^{\text{ptn}}(a,b)} q^{|\mu| + h_{b,a}^+(\mu)}.$$

For $a, b \in \mathbb{N}$, can we find a mysterious, lucky statistic such that

$$\left[\begin{array}{c} a + b \\ a, b \end{array} \right]_q = \sum_{\mu \in \mathcal{R}^{\text{ptn}}(a,b)} q^{|\mu| + h_{b,a}^+(\mu) + \mathbf{ml}_{b,a}(\mu)}?$$

Tada!

Let $\mathbf{ml}_{b,a}(\mu) = -h_{b,a}^+(\mu)$. Then

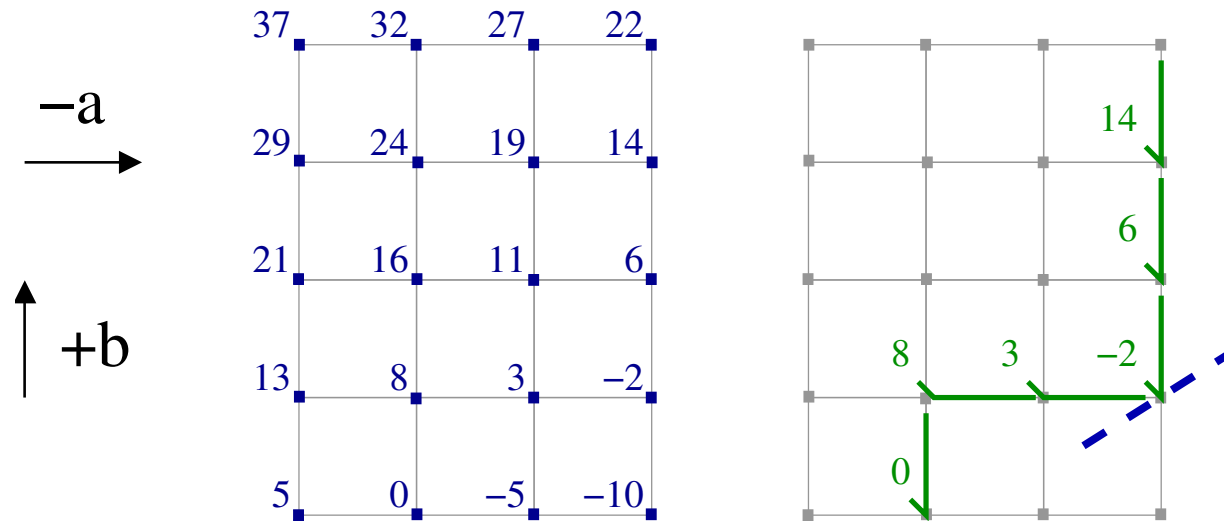
$$\begin{aligned} \begin{bmatrix} a + b \\ a, b \end{bmatrix}_q &= \sum_{\mu \in \mathcal{R}^{\text{ptn}}(a,b)} q^{|\mu| + h_{b,a}^+(\mu) + \mathbf{ml}_{b,a}(\mu)} \\ &= \sum_{\mu \in \mathcal{R}^{\text{ptn}}(a,b)} q^{|\mu|}. \end{aligned}$$

So let's require $\mathbf{ml}_{b,a}(\mu) = 0$ when $\mu \in \mathcal{D}^{\text{ptn}}(a, b)$.

Yes

The (a, b) -level of (x, y) is $ay - bx$.

Define $ml_{b,a}(\mu)$ to be the minimum (a, b) -level of all points on the frontier of μ .

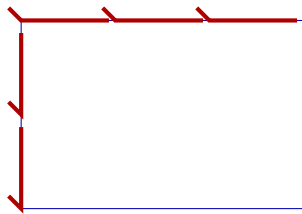


q -Catalan Conjecture

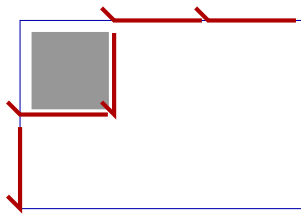
For all $a, b \in \mathbb{Z}_{\geq 0}$, coprime or not,

$$\begin{bmatrix} a + b \\ a, b \end{bmatrix}_q = \sum_{\mu \in \mathcal{D}^{\text{ptn}}(a, b)} q^{|\mu| + \text{ml}_{b, a}(\mu) + h_{b, a}^+(\mu)}.$$

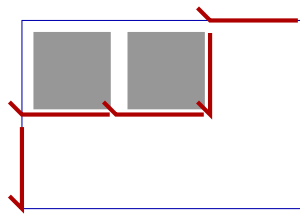
Example: $a = 2, b = 3$



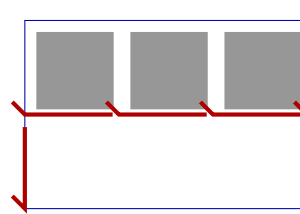
0



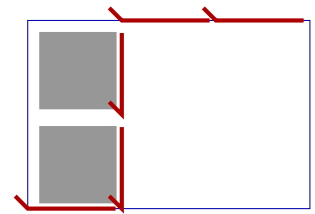
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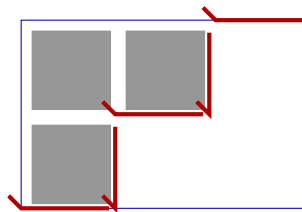
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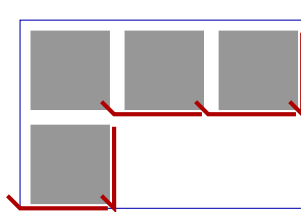
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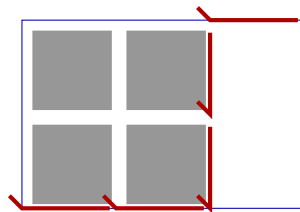
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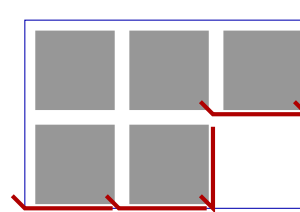
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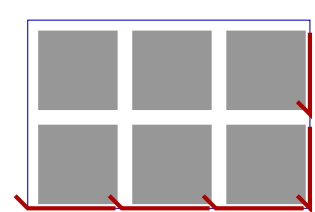
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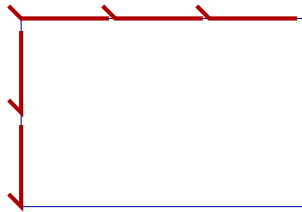


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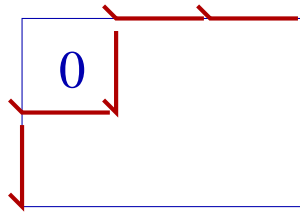


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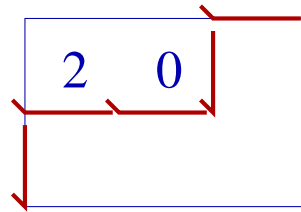
Example: $a = 2, b = 3$



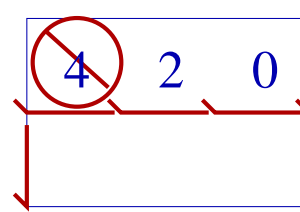
$0 + 0$



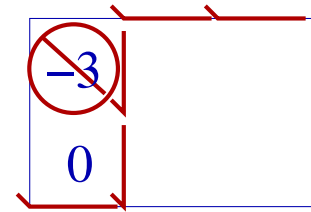
$1 + 1$



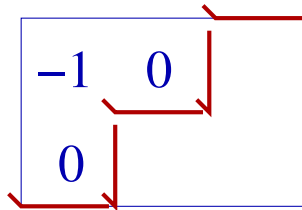
$2 + 2$



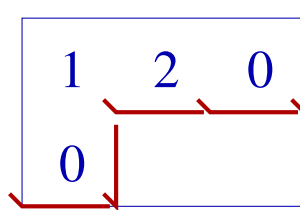
$3 + 2$



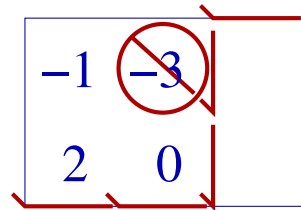
$2 + 1$



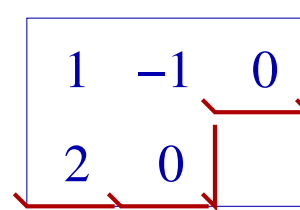
$3 + 3$



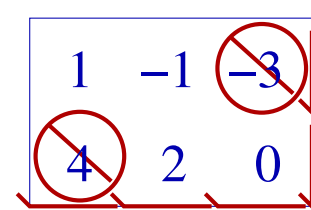
$4 + 4$



$4 + 3$

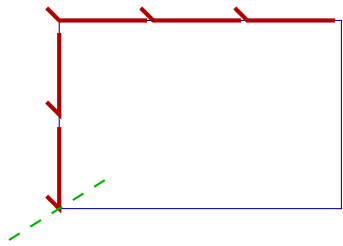


$5 + 5$

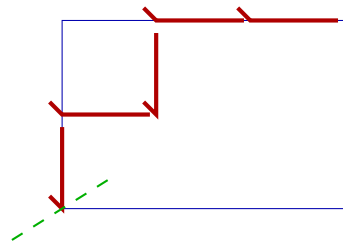


$6 + 4$

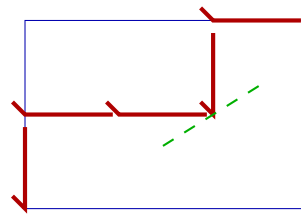
Example: $a = 2, b = 3$



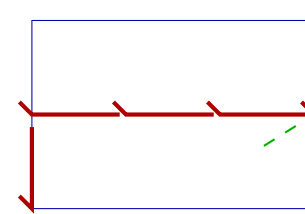
$$0 + 0 + 0$$



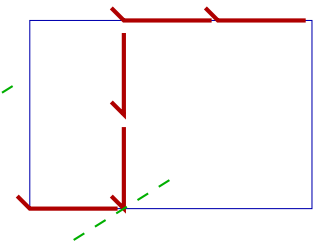
$$1 + 1 + 0$$



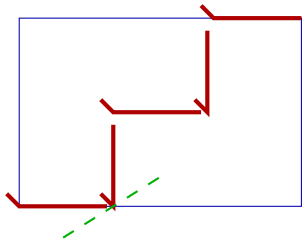
$$2 + 2 - 1$$



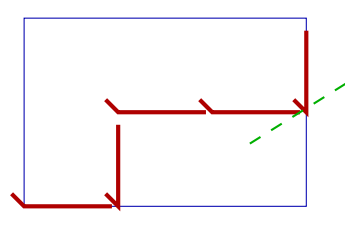
$$3 + 4 - 3$$



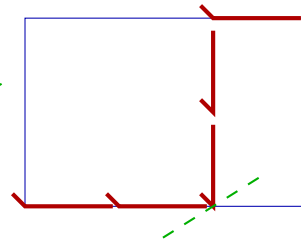
$$2 + 1 - 2$$



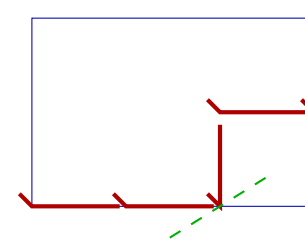
$$3 + 3 - 2$$



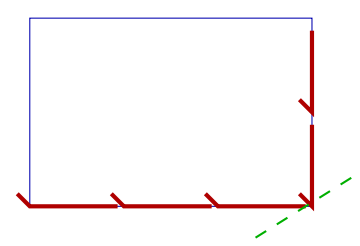
$$4 + 4 - 3$$



$$4 + 3 - 4$$

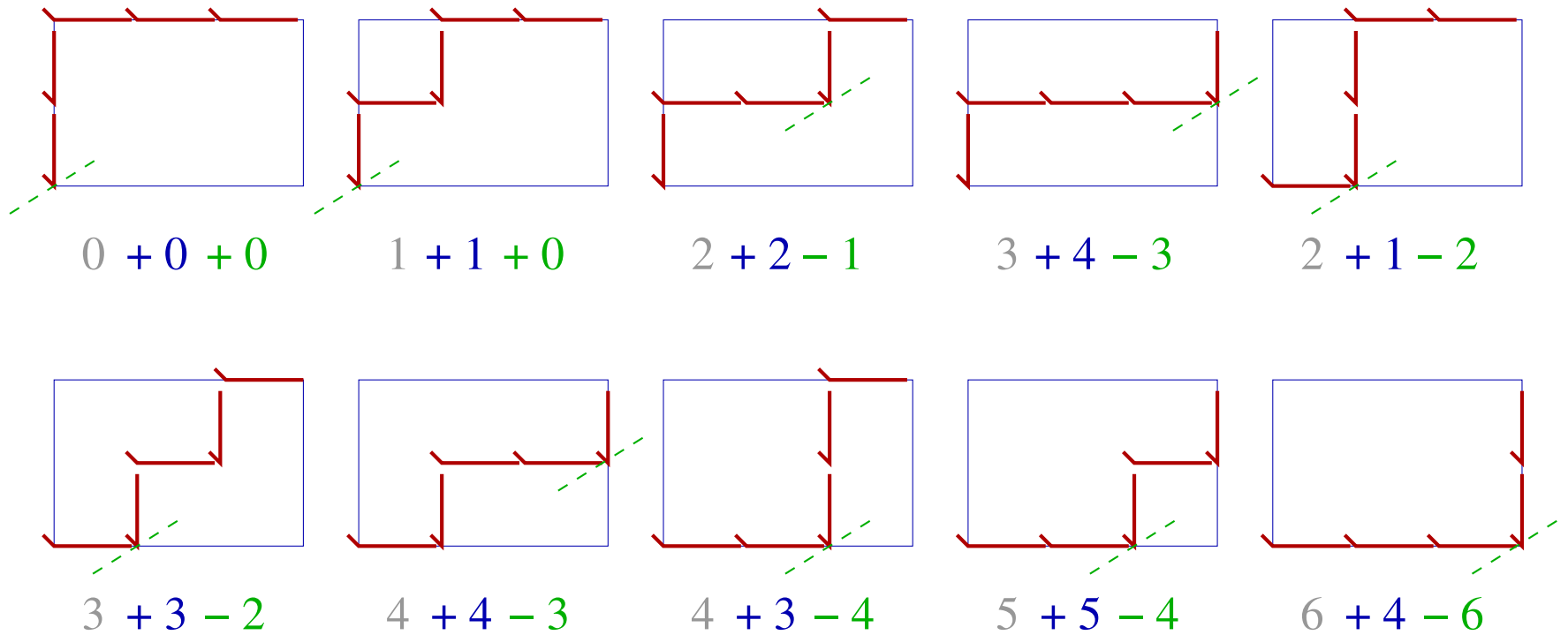


$$5 + 5 - 4$$



$$6 + 4 - 6$$

Example: $a = 2, b = 3$



$$1 + q + 2q^2 + 2q^3 + 2q^4 + q^5 + q^6$$

Proof?

Nope.

What we know

Theorem: For $\gcd(a, b) = 1$, the

q -Binomial Conjecture

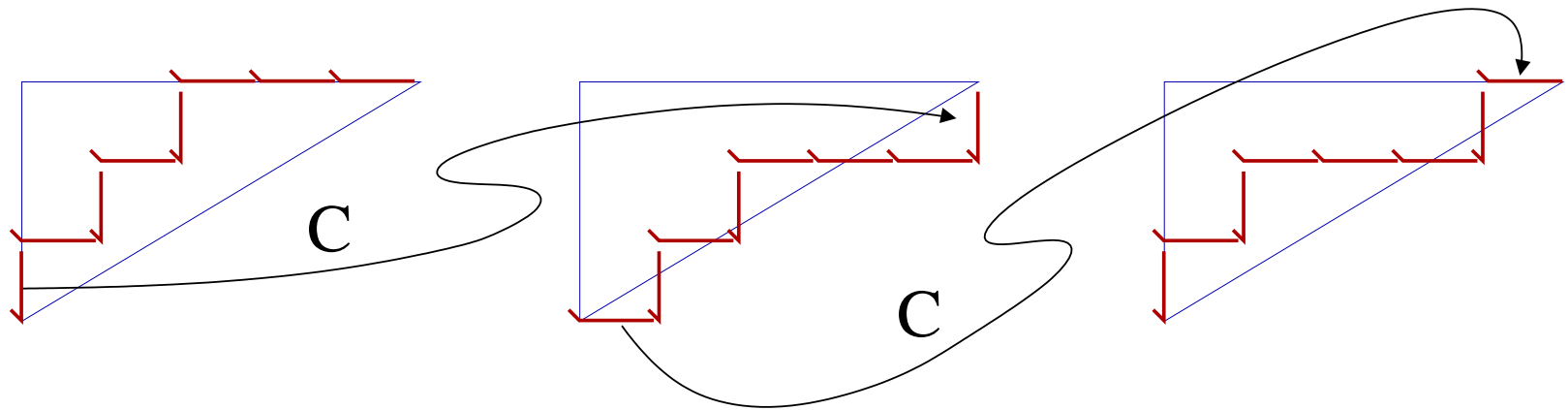
and the

q -Catalan Conjecture

are equivalent.

Sketchy proof

Define a **cyclic shift map**



Write $\mu^0, \mu^1, \dots, \mu^{a+b-1}$ for the cyclic shifts of μ .

Claim 1

If ν is a cyclic shift of $\mu \in \mathcal{D}^{\text{ptn}}(a, b)$,
then

$$|\nu| + \mathbf{ml}_{b,a}(\nu) = |\mu|.$$

Sketchy proof

$$\begin{aligned} \begin{bmatrix} a + b \\ a, b \end{bmatrix}_q &= \sum_{\mu^0 \in \mathcal{D}^{\text{ptn}}(a,b)} \sum_i q^{|\mu^i| + m|b,a(\mu^i) + h_{b,a}^+(\mu^i)} \\ &= \sum_{\mu^0 \in \mathcal{D}^{\text{ptn}}(a,b)} \sum_i q^{|\mu^0| + h_{b,a}^+(\mu^i)} \\ &= \sum_{\mu^0 \in \mathcal{D}^{\text{ptn}}(a,b)} q^{|\mu^0|} \sum_i q^{h_{b,a}^+(\mu^i)}. \end{aligned}$$

Claim 2

If the cyclic shifts of $\mu^0 \in \mathcal{D}^{\text{ptn}}(a, b)$ are $\mu^0, \dots, \mu^{a+b-1}$, then

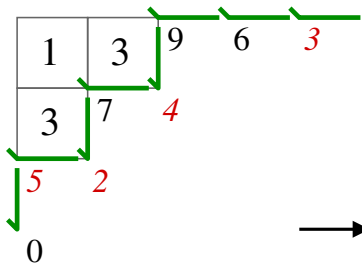
$$\sum_i q^{h_{b,a}^+(\mu^i)} = [a + b]_q q^{h_{b,a}^+(\mu^0)}.$$

Sketchy proof

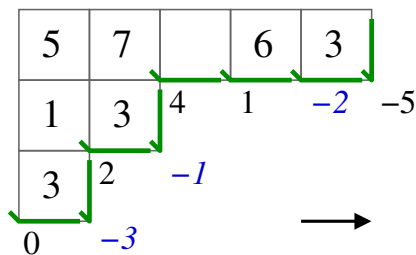
$$\begin{aligned} \begin{bmatrix} a + b \\ a, b \end{bmatrix}_q &= \sum_{\mu^0 \in \mathcal{D}^{\text{ptn}}(a,b)} \sum_i q^{|\mu^i| + m_{b,a}(\mu^i) + h_{b,a}^+(\mu^i)} \\ &= \sum_{\mu^0 \in \mathcal{D}^{\text{ptn}}(a,b)} \sum_i q^{|\mu^0| + h_{b,a}^+(\mu^i)} \\ &= \sum_{\mu^0 \in \mathcal{D}^{\text{ptn}}(a,b)} q^{|\mu^0|} \sum_i q^{h_{b,a}^+(\mu^i)} \\ &= \sum_{\mu^0 \in \mathcal{D}^{\text{ptn}}(a,b)} q^{|\mu^0|} [a + b]_q q^{h_{b,a}^+(\mu^0)} \\ &= [a + b]_q \mathbf{Cat}_{a,b}(q). \end{aligned}$$

Big example

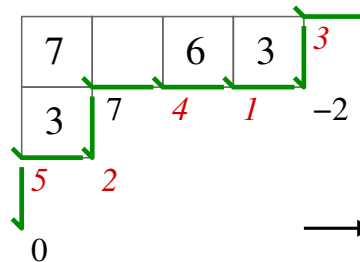
μ^0 $h^+ = 3$



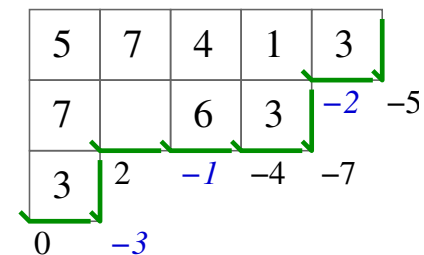
μ^4 $h^+ = 7$



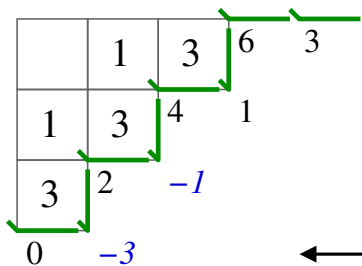
μ^1 $h^+ = 4$



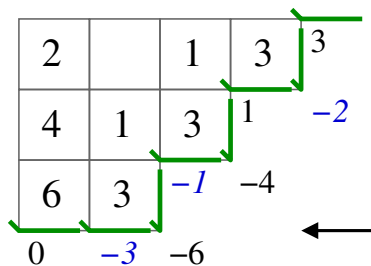
μ^6 $h^+ = 9$



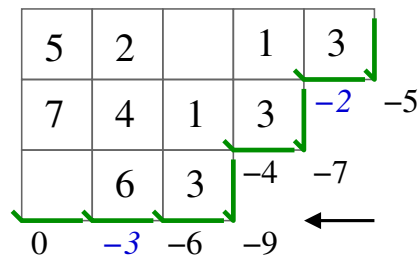
μ^2 $h^+ = 5$



μ^5 $h^+ = 8$



μ^7 $h^+ = 10$



μ^3 $h^+ = 6$

